

# ANZ ONEANSWER ADVISER SERVICE FEE ALTERATION AND CONSENT FORM



26 April 2022

## Customer Services

Phone 13 38 63

Email [client@onepathsuperinvest.com.au](mailto:client@onepathsuperinvest.com.au)

Website [anz.com](http://anz.com)

347 Kent Street, Sydney NSW 2000

This form is for existing members in ANZ OneAnswer – Entry Fee, Deferred Entry Fee, Nil Entry Fee and //Select.

## INSTRUCTIONS

- This form should be completed if you have agreed with your financial adviser to amend their Adviser Service Fee arrangements.
- If you have an existing Ongoing Adviser Service fee or Fixed Term Arrangement fee you must complete this form to consent that it continue to be charged. Your consent (at section 3), must be provided every 12 months using this form.
- Complete section 3 and 4 if you have agreed with your financial adviser to apply a new Adviser Service Fee or amend an existing Ongoing Adviser Service Fee or Fixed Term Arrangement Adviser Service Fee.
- Section 5 should be completed by your financial adviser if alterations to product remuneration arrangements are required (such as if you wish to cancel any existing Ongoing Adviser Service Fees or Fixed Term Arrangements).
- Please complete this form and forward to us either by email or post:
  - Email a scanned copy
  - Post to:  
ANZ OneAnswer  
OnePath  
GPO Box 4028  
Sydney NSW 2001

## 1. MEMBER NUMBER

## 2. PERSONAL DETAILS

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Doctor	<input type="checkbox"/> Other	<input type="text"/>
Surname							
Given name(s) (including middle name)							
Date of birth	<input type="text" value="D D"/>	<input type="text" value="M M"/>	<input type="text" value="Y Y Y Y"/>	<input type="checkbox"/> Male	<input type="checkbox"/> Female	Contact phone	<input type="text"/>
Address							
						State	Postcode

## 3. ANZ FINANCIAL PLANNER PAYMENTS

- The following ASF options are available – ongoing ASF, fixed term arrangement ASF and one-off ASF. Please note that we do not allow any client to have both a fixed term arrangement ASF and ongoing ASF at the same time.
- Please see rules in Section 5 below about how any new Ongoing ASF or Fixed Term Arrangement ASF will interact with any existing fee arrangements.
- ASFs are optional and agreed between you and your financial adviser. The amounts nominated will be deducted from your account and are inclusive of any applicable GST. These are paid by OnePath Custodians/OnePath Funds Management under a separate contractual agreement OnePath Custodians/OnePath Funds Management has with your financial adviser's licensee.
- At our discretion we may decline to deduct any of these fees.
- You can terminate the ASF at any time by notifying us in writing. If you notify us that you no longer wish to use the services of a financial adviser in relation to your investment, the ASF will be terminated.
- Please refer to the Product Disclosure Statement (PDS) applicable to your ANZ OneAnswer investment for further information.

## ADVISER SERVICE FEE ALTERATION AND CONSENT FORM

If you have agreed to pay your financial adviser an Adviser Service Fee (ASF) from your account balance (by redeeming units) please provide the details below.

### Ongoing Adviser Service Fee

Deduct Ongoing ASF . %\* per annum or \$ , per annum

To have the ongoing ASF deducted from a single fund, write the fund name here.†

Choose when to have the ASF deducted (choose one).‡ If no frequency is nominated, it will be deducted monthly.

Monthly  Quarterly†  Annually†

Consent end date (required)

If a percentage ongoing fee is selected:

Your adviser has estimated a fee of \$ , for the 12 months commencing

This fee will be paid on the above selected frequency based on your account balance applied against the percentage entered above.

The consent end date is the date when all ongoing advice fees will end if we have not received your consent to continue.

Note: The consent end date cannot be more than 12 months plus 150 days after the date of the below member consent.

### Fixed Term Arrangement ASF

Deduct one-off ASF . %\* per annum or \$ , per annum

To have the fixed term arrangement ASF deducted from a single fund, write the fund name here.†

Start date (required)

End date (required)

### Important:

- Start and End Dates for the ASF must be provided above for this form to be valid.
- You must have signed within 90 days of the start date and the date we process this request.
- The end date cannot be more than 12 months after the fixed term arrangement start date.

If a percentage fixed term arrangement fee is selected: Your adviser has estimated a fee of \$ , for the term of the fixed term arrangement.

This fee will be paid on a monthly frequency based on your account balance applied against the percentage entered above.

The end date is the date when all fixed term arrangement fees will end if we have not received your consent to continue.

Please select the services being provided:

- Review of your account  Investment advice on your account  Insurance in superannuation strategy  
 Strategic superannuation advice  Contribution strategy  Withdrawal advice

### One-off Adviser Service Fee

Deduct one-off ASF . %\* or \$ ,

To have the one-off ASF deducted from a single fund, write the fund name here.†

Please select the services being provided:

- Review of your account  Investment advice on your account  Insurance in superannuation strategy  
 Strategic superannuation advice  Contribution strategy  Withdrawal advice

If a percentage one-off fee is selected: Your adviser has estimated a fee of \$ , based on your account balance applied against the percentage entered above.

\* You cannot nominate a percentage based ASF if the balance is funded from borrowed money.

† ASF cannot be deducted from ANZ Term Deposits or suspended funds. If no fund is nominated the ASF will be deducted proportionately across all funds except ANZ Term Deposits and suspended funds.

‡ The first updated Ongoing or Fixed Term Arrangement ASF will be deducted either in the following month (if monthly frequency), in three months (if quarterly, Ongoing ASF only) or in 12 months (if annually, Ongoing ASF only) following the commencement of the new arrangement.

Please note for Term Allocated Pension accounts you can only nominate a percentage (%) amount

### Adviser Service Fee declaration (to be completed by member/investor only)

To be completed by members/investors where an ASF is updated or a new ASF is commenced.

By signing below, I:

- understand that any ASF nominated will be deducted from my account balance and paid by OnePath Custodians/OnePath Funds Management to my financial adviser named in section 6
- understand that any ASF nominated will be deducted as directed by redeeming units from my investment and where it is payable as a percentage, I understand that it will be calculated on my total account balance
- confirm that any ASF nominated is solely for advice in relation to my interest in the Fund and is not part of an early release scheme
- confirm that where an ASF is nominated as a percentage no part of the account balance is funded from borrowed money
- understand the ASF nominated is negotiable with my financial adviser and can be cancelled at any time
- understand my consent to pay the ongoing ASF to my adviser will cease on the consent end date
- understand my consent for a fixed term arrangement will last until the end date stated above
- understand my consent for a one-off ASF can be revoked at any time before the one-off fee is paid
- understand I can withdraw my consent or vary the ongoing ASF arrangement at any time by notice in writing to my adviser or by contacting Customer Services
- understand that OnePath is required to obtain your specific written consent before a fee for financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted.

Signature of member/investor 1

Date

Signature of member/investor 2

Date

### Adviser Service Fee declaration (to be completed by financial advisers only)

To be completed by financial advisers where an ASF is updated or a new ASF is commenced.

By signing below, I confirm and declare that:

- where an ASF is nominated it is solely for advice in relation to the member's interest in the Fund
- where an ASF is nominated it is not part of an early release scheme
- where an ASF is nominated it is reasonable and is commensurate with the type and scope of the advice being provided
- I have specifically drawn the applicant's attention to any ASFs nominated above and I have explained the implications and the effect of these fees for them
- confirm the fixed term arrangement ASF will start on the start date nominated in Section 3. The end date cannot be more than 12 months after the fixed term arrangement ASF start date.

Signature of financial adviser

Date

## 4. DECLARATIONS AND SIGNATURE(S)

By completing this form, I:

- acknowledge that I have read and understood the relevant OneAnswer PDS.
- acknowledge that there is additional information about this product in the incorporated material which may assist me in making a decision about the payment of ASF to my financial adviser.
- authorise the collection, use, storage and disclosure of my/our personal information (including where authorised and required, health and other sensitive information) as described in ANZ's Privacy Policy which is available at [anz.com/privacy](http://anz.com/privacy) and OnePath Funds Management's Privacy Policy which is available at [onepath.com.au/superandinvestments/privacy-policy](http://onepath.com.au/superandinvestments/privacy-policy). If I/we have provided information about another person in this application, I/we declare that I/we have the permission of that person to do so. I/we understand that ANZ and OnePath Funds Management require me/us to inform the person concerned that I/we have done so and direct them to the relevant Privacy Policies so they may understand the manner in which their personal information may be used and disclosed by ANZ and OnePath Funds Management.
- authorise ANZ, OnePath Custodians/OnePath Funds Management and their related group companies using my/our personal information to send me/us information about their products or services from time to time. I/We also consent to OnePath Custodians/OnePath Funds Management to disclose my/our personal information to organisations, including those in an arrangement or alliance with OnePath Custodians/OnePath Funds Management or its related group companies, to share information for marketing purposes and to enable those alliance partners to send me/us information about their products and services. If I/we do not want OnePath Custodians/OnePath Funds Management, its related group companies or alliance partners using and disclosing my/our information for this purpose, I/we understand that I/we may phone 133 665 to advise that I/we do not want our personal information to be used and disclosed for direct marketing purposes.

# ANZ ONEANSWER

## ADVISER SERVICE FEE ALTERATION AND CONSENT FORM

- authorise my financial adviser named in section 6 to receive and access my personal information (including, where authorised and required, sensitive information) for the purposes of managing my investment. Where there is any change relating to my financial adviser, I will notify ANZ and OnePath Custodians/OnePath Funds Management of the change in writing.
- agree to be bound by the provisions of the Trust Deed for the Retirement Portfolio Service for Personal Super and Pension accounts.

I/We declare that I/we have read this form and declare that the information completed on this form is true and accurate.

Name of member/investor 1

Signature of member/investor 1

Date

D	D	M	M	2	0	Y	Y
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Name of member/investor 2

Signature of member/investor 2

Date

D	D	M	M	2	0	Y	Y
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### PLANNER USE ONLY

#### 5. ASF CANCELLATION

If you wish to cancel an existing ASF, please nominate the below.

##### Cancel Fixed Term Arrangement ASF

- Cancel the Fixed Term Arrangement ASF on this account

##### Cancel Ongoing ASF

- Cancel the Ongoing ASF on this account

If you do not cancel an existing Ongoing ASF, your existing Ongoing ASF will continue until the earliest of:

- Start Date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- Consent end date of the existing Ongoing ASF

If you do not cancel an existing Fixed Term Arrangement ASF, your existing Fixed Term Arrangement ASF will continue until the earliest of:

- Start Date of new Fixed Term Arrangement
- Processing date of new Ongoing ASF
- End date of the existing Fixed Term Arrangement ASF

#### 6. ANZ FINANCIAL PLANNER DETAILS

Seller code 2

Seller code 3

Company name

Name of financial adviser

Adviser code

Phone Business

Mobile

Fax

Email

financial adviser  
stamp