

**Please attach a copy of your current shareholder statement(s). Instructions for completing this form are on the back of this form.**

Note: Before completing this form ensure the shares are on ANZ's Approved Securities List (ASL). The ASL can be found on [www.wealth.anz.com/investments/investment-loans](http://www.wealth.anz.com/investments/investment-loans) or by calling our Client Services Team on 1800 639 330, 8am - 6pm AEST, ASX trading days. If shares are not on the ASL please call our Client Services Team.

**1. CLIENT DETAILS (Name shares registered in)**

Client Account Number

Client/Director/Trustee 1

Client/Director/Trustee 2

Title:  Mr  Mrs  Ms  Miss  Dr  Other

Mr  Mrs  Ms  Miss  Dr  Other

First Name

First Name

Surname

Surname

If applicable:

Company Trust Name

Designation

Details must match existing share registry details exactly

**2. SHARE TRANSFER (Complete either Section A or B)**

Please check your shareholding statement to confirm if your shares are Issuer or Participant Sponsored. Please note shares can only be transferred once settled: Transfers worth less than \$600 can only be processed if you have enough units of the same security already under our sponsorship to bring the total value held to at least \$600. This is to comply with the ASX Settlement Operating Rule on 'Marketable Parcels'.

**Section A – Transfer shares held at a registry ("Issuer Sponsored Shares"). Refer overleaf for definition.**

Please transfer my/our attached Issuer Sponsored Holdings to ANZ Margin Services Pty Ltd:

- Attach your Shareholder statement(s) – we will transfer your entire holding; **OR**
- Please complete the table below – we will transfer only the holdings you nominate

Have you attached copies of most recent issuer sponsored statements?

ASX Code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Have you completed the SRN?

**Section B – Transfer shares held at a broker ("Participant Sponsored Shares")**

I/We authorise the following sponsor to transfer the securities listed below to ANZ Margin Services Pty Ltd:

Sponsor (your existing broker):

Sponsor's ASX Participant ID ("PID"):

Your account number at the sponsor:

Your Holder ID Number ("HIN"):

- Please transfer my entire HIN; **OR**  
Note: If this box is ticked, all stock attached to the HIN will be brought over to ANZ Investment Lending.
- Please complete the table below – we will transfer only the holdings you nominate

ASX Code	Quantity	ASX Code	Quantity	ASX Code	Quantity
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Have you attached copies of most recent CHES sponsored statements?

## Share Transfer Authorisation

### 3. AUTHORISATION (All signatories must sign)

I/We declare that I/We have read and agree to be bound by the **ANZ Investment Lending Terms and Conditions including the CHES Sponsorship Terms**. I/We authorise and direct my/our sponsoring broker to transfer my/our shareholdings to **ANZ Margin Services Pty Ltd** in accordance with the ASX Settlement Operating Rules.

Client/Director/Trustee 1 Name	<input type="text"/>	Client/Director/Trustee 1 Name	<input type="text"/>
Client/Director/Trustee 1 Signature	<input type="text"/>	Client/Director/Trustee 1 Signature	<input type="text"/>
Date (dd/mm/yyyy)	<input type="text"/>	Date (dd/mm/yyyy)	<input type="text"/>

#### Instructions for completing this form:

- Enter your personal details, including your ANZ Investment Lending Account Number. Provide the exact name that the shares are registered in.
  - If the shares you wish to contribute are registered in the name of a third party (i.e. someone who is not an account holder for this account), you will need to contact our Client Services Team on **1800 639 330**, 8am and 6pm AEST, ASX trading days.
- Confirm the type of sponsorship of the shares. You will require a copy of your share statement to confirm these details.
  - Issuer sponsored shares** – your share holdings are listed individually on each company’s register. For example, this is often the case for shares received from your employer, or as part of a demutualisation.
    - Confirm your share holdings with the ASX code and units held. This information can be obtained from your individual issuer sponsored statement(s) or from your CHES statement.
  - Participant sponsored shares (broker sponsored)** – your share holdings are consolidated by a third party – usually a stock broker and registered on the CHES sub-register on your behalf.
    - Your Holder Identification Number (HIN) is your registration number for CHES to identify and track your share holdings (e.g. X12345678).
    - The Participant Identification Number (PID) is the CHES sponsor’s ID (i.e. the identification number assigned to your stockbroker).
    - Confirm your share holdings with the ASX code and units held. This information can be obtained from your individual issuer sponsored statement(s) or from your CHES statement.

#### Complete and return this form to:



ANZ Investment Lending  
Reply Paid 4338, Melbourne VIC 8060



1800 639 330  
(8am - 6pm AEST on ASX trading days)



Fax: 1800 186 286