

ANZ INVESTMENT LENDING SHARE TRANSFER AUTHORISATION



Please attach a copy of your current shareholder statement(s). Instructions for completing this form are on the back of this form.

Before completing this form ensure the shares are on ANZ's Approved Securities List (ASL). The ASL can be found on anz.com.au/personal/investing-super/investment-loans or by calling our Client Services Team on 1800 639 330, 8am–6pm AEST, ASX trading days. If shares are not on the ASL please call our Client Services Team.

1. CLIENT DETAILS (Name shares are registered in)

The name that the shares are registered in must match the name of the loan exactly.

Client account number

Client/Director/Trustee 1

Title
 Mr Mrs Ms Miss Dr Other

First name(s)

Last name

Company/Trust name

Client/Director/Trustee 2

Title
 Mr Mrs Ms Miss Dr Other

First name(s)

Last name

Company/Trust name

Client/Director/Trustee 3

Title
 Mr Mrs Ms Miss Dr Other

First name(s)

Last name

Company/Trust name

Director/Trustee 4

Title
 Mr Mrs Ms Miss Dr Other

First name(s)

Last name

Company/Trust name

2. SHARE TRANSFER (Complete either Section A or B)

Please check your shareholding statement to confirm if your shares are Issuer or Participant Sponsored.

Section A – Transfer shares held at a registry (“Issuer Sponsored Shares” to ANZ Margin Services Pty Ltd. Refer overleaf for definition.)

Attach your Shareholder statement(s) – we will transfer your entire holdings; OR

Please complete the table below – we will transfer only the holdings you nominate

ASX code	Quantity	SRN
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

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Section B – Transfer held shares at a broker (“Participant Sponsored Shares”)

I/We authorise the following sponsor to transfer the securities listed below to ANZ Margin Services Pty Ltd:

Sponsor (your existing broker name)

Your account number at the sponsor

Your Holder ID Number (“HIN”) **X**

Please transfer my entire HIN; **OR**

Note: If this box is ticked, all stock attached to the HIN will be brought over to ANZ Investment Lending.

Please complete the table below – we will transfer only the holdings you nominate.

ASX code	Quantity	ASX code	Quantity	ASX code	Quantity

3. AUTHORISATION

All Individual Trustees **OR** all Trustees for Minor **OR** two Directors **OR** one Director and one Company Secretary must sign this application.

I/We authorise and direct my/our sponsoring broker to transfer my/our shareholdings to ANZ Margin Services Pty Ltd in accordance with the ASX Settlement Operating Rules.

Client/Director/Trustee 1

Name

Signature

Date

Client/Director/Trustee 2

Name

Signature

Date

Client/Director/Trustee 3

Name

Signature

Date

Director/Trustee 4

Name

Signature

Date

CHECKLIST

- Details must match existing share registry details exactly
- Have you attached copies of most recent issuer sponsored statements?
- Have you completed the SRN?
- Have you attached copies of most recent CHESS sponsored statements?

Note: We also accept dividend statements.

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Instructions for completing this form:

1. Enter your personal details, including your ANZ Investment Lending Account Number. Provide the exact name that the shares are registered in.
2. Confirm the type of sponsorship of the shares. You will require a copy of your share statement to confirm these details.
 - a. **Issuer sponsored shares** – your share holdings are listed individually on each company's register. For example, this is often the case for shares received from your employer, or as part of a demutualisation.
 - i. Confirm your share holdings with the ASX code and units held. This information can be obtained from your individual issuer sponsored statement(s) or from your CHESS statement.
 - b. **Participant sponsored shares (broker sponsored)** – your share holdings are consolidated by a third party – usually a stock broker and registered on the CHESS sub-register on your behalf.
 - i. Your Holder Identification Number (HIN) is your registration number for CHESS to identify and track your share holdings (e.g. X12345678).
 - ii. The Participant Identification Number (PID) is the CHESS sponsor's ID (i.e. the identification number assigned to your stockbroker).
 - iii. Confirm your share holdings with the ASX code and units held. This information can be obtained from your individual issuer sponsored statement(s) or from your CHESS statement.

Complete and return this form to:



Mail: ANZ Investment Lending
Reply Paid 4338, Melbourne VIC 8060
(no stamp required)



Email: investmentlending@anz.com

Contact us:



Phone: 1800 639 330
8am to 6pm
(Sydney/Melbourne time)
ASX trading days