

1 February 2020

Customer Services

Phone 13 12 87
Email anzsmartchoice@anz.com
Website anz.com/smartchoice

INSTRUCTIONS

- If you have agreed to pay your financial planner a One-off Member Advice Fee and/or an Ongoing Member Advice Fee from your account balance, please complete the form below. The amount nominated below will be deducted from your account and is inclusive of GST. This is paid by OnePath Custodians under a separate contractual arrangement OnePath Custodians has with your financial planner.
- Complete all applicable sections of this form in **CAPITAL LETTERS** using a black or blue pen.
- If you have not previously provided proof of your identification, Anti Money Laundering / Know Your Customer legislation in Australia requires us to obtain a certified copy of at least 1 primary identification document or 2 secondary identification documents. Please refer to page 3 for identification documents you need to provide.
- Post your completed and signed form to:
ANZ Smart Choice Super
GPO Box 5107
Sydney NSW 2001

1. MEMBER DETAILS

Member number Date of birth

Title Mr Mrs Ms Miss Dr Other

First name(s)

Last name

Home phone Business phone Mobile phone

Email

2. FINANCIAL PLANNER DETAILS (TO BE COMPLETED BY THE PLANNER)

Title Mr Mrs Ms Miss Dr Other

Surname

Given name(s)

Email address

Office address

Office phone number Office mobile number

Sales Account Number (SAN)

Dealer Group name

Has the financial planner held a SAN with ANZ/OnePath previously? Yes No

If yes, please provide the previous SAN

Name of the Dealer Group the SAN was held under

I, the financial planner, whose signature appears below, confirm that the nominated fee in section 3 is in relation to services to be provided to the member for their ANZ Smart Choice Super member account and/or the Fund, and is not part of an early release scheme.

Financial planner's signature

Date

ANZ SMART CHOICE SUPER MEMBER ADVICE FEE

3. MEMBER ADVICE FEE (MAF)

- The following MAF options are available: One-off MAF or Ongoing MAF.
- MAFs are optional and agreed between you and your financial planner.
- You can cancel this MAF at any time by notifying us in writing.
- We have the discretion to decline to deduct any of these fees.
- Please refer to the current Product Disclosure Statement (PDS) for further information.

I agree to a One-off MAF of \$ [] to be paid to the financial planner nominated above for advisory services provided to me.

Note: This is a One-off payment. Any further payments require an additional form to be completed each time you negotiate a new MAF.

And/or

I agree to an Ongoing MAF of \$ [] to be paid **each month** to the financial planner for ongoing advisory services provided to me.

Ongoing MAFs are deducted from your account at the end of the month. Please confirm which month you would like the deductions to commence (please allow at least 15 days for this form to be received and processed by us). If a commencement month is not provided deductions will commence on the first available month for processing.

Note: This is a recurring arrangement paid on a regular basis, as requested by you. Where you have multiple investments within your account, the fee will be deducted from your investment with the highest balance. To specify an alternative account please call Customer Services on 13 12 87.

Under the law you are required to opt-in to, or renew, your ongoing financial planner fee arrangements every two years (by way of a written opt-in notice provided to you by your financial planner). Unless we receive another completed MAF request form, we will assume you have not opted-in/renewed the fee arrangement and will cease payment after 24 monthly payments.

Where an income tax deduction is available under the applicable laws and is claimed by the Fund, in respect to these fees, the benefit of this tax deduction will be passed on to you in the form of a reduced fee cost.

Unless expressly stated otherwise, the fees and cost disclosed are inclusive of any applicable Goods and Services Tax (GST) less any entitlement to a reduced input tax (RITC) available to the Fund.

4. DECLARATION AND AUTHORISATION

By completing this form, I:

- confirm that I have read and understood the conditions related to the One-off MAF and Ongoing MAF outlined in the PDS
- confirm that the MAF nominated is solely for advice in relation to my interest in the Fund and is not part of an early release scheme
- understand that any One-off MAF and/or Ongoing MAFs nominated will be deducted from my account balance and paid by OnePath Custodians to the nominated financial planner, under a separate contractual arrangement
- consent to the collection, use, storage and disclosure of my personal information (including health and other sensitive information) as described in ANZ's Privacy Policy which is available at anz.com/privacy and OnePath Custodians' Privacy Policy which is available at onepath.com.au/superandinvestments/privacy-policy. If I have provided information about another person in this application, I declare that I have the consent of that person to do so. I understand that ANZ and OnePath Custodians require me to inform the person concerned that I have done so and direct them to the relevant Privacy Policies so they may understand the manner in which their personal information (including health and other sensitive information) may be used and disclosed by ANZ and OnePath Custodians
- consent to ANZ, OnePath Custodians and their related companies using my personal information (including health and other sensitive information) to send me information about their products or services from time to time. I also consent to OnePath Custodians disclosing my personal information (including health and other sensitive information) to organisations, including those in an arrangement or alliance with OnePath Custodians or its related companies, to share information for marketing purposes and to enable those alliance partners to send me information about their products and services. If I do not want OnePath Custodians, its related companies or alliance partners using and disclosing my information for this purpose, I understand and agree that I must phone 133 665 to withdraw my consent
- authorise the provision of my personal information to the financial planner nominated in section 2 for the purpose of providing me with personal advice relating to my ANZ Smart Choice Super member account

I, the undersigned, whose **signature** appears below, agree to the amount specified in section 3 being deducted from my account balance and paid to the financial planner nominated in section 2.

Member's signature

Date

[D | D] [M | M] [2 | 0] [Y | Y]

Note: ANZ Smart Choice Super is a suite of products consisting of ANZ Smart Choice Super and Pension, ANZ Smart Choice Super for employers and their employees and ANZ Smart Choice Super for QBE Management Services Pty Ltd and their employees.

ANZ SMART CHOICE SUPER

MEMBER ADVICE FEE

YOUR IDENTIFICATION DOCUMENTS

The Anti-Money Laundering and Counter-Terrorism Financing Act 2006 requires us to identify you and verify your identity before we make a payment of your super.

To verify your identity, please send in original certified copies (not original documents) of the following:

- at least ONE primary identification document type OR
- at least TWO different types of secondary identification documents.

Please note: We cannot accept certified copies by fax or email.

Primary identification document types

- Australian State/Territory photographic driver's licence or learner's permit
- Australian passport (current or one that has expired within the past two years)
- Foreign passport*
- Australian State/Territory government issued Proof of Age Card
- Foreign government issued National Identification card*
- Australian Firearms/Shooting Licence
- Australian Explosives Licence

* If the identification document is written in a language other than English, you must provide a translation into English by a translator who is accredited by the National Accreditation Authority for Translators and Interpreters.

Secondary identification document types

- Birth certificate, birth card, birth extract issued by an Australian State or Territory, or foreign Government*
- Australian Medicare card
- Foreign driver's licence*
- Australian or foreign citizenship certificate*
- Australian Government card or notice issued by Centrelink to concession holder – includes any **one** of the following:
 - DHS Commonwealth Seniors Health Card or Health Care Card
 - DHS or DVA Pensioner Concession card
 - Benefits Notice (less than 12 months old)
- Australian ImmiCard – includes any **one** of the following:
 - Evidence of Immigration Status (EIS) ImmiCard
 - Permanent Resident Evidence (PRE) ImmiCard
 - Residence Determination ImmiCard (RDI)
- Australian School attendance letter/notice issued by principal to person under 18, recording residential address and period of attendance (less than 3 months old)
- Australian Taxation Office (ATO) assessment notice (less than 12 months old) with name, residential address and recording debt payable by/refund due to the person
- Notice issued by an approved Australian aged care facility (less than 12 months old) with name and residential address
- Letter issued by the Australian Electoral Commission (less than 3 months old) with name and residential address

* If the identification document is written in a language other than English, you must provide a translation into English by a translator who is accredited by the National Accreditation Authority for Translators and Interpreters.