

## Simplifying and connecting your transaction banking across Asia, the Pacific, Europe and America

### OTHER DOCUMENTS REQUIRED

#### BOARD RESOLUTION

##### > Singapore, Hong Kong, India & Thailand

If your current Board Resolution/Account Authorisation Letter does not specify any mandate for signing of electronic banking related documents, you will need to submit another Board Resolution/Account Authorisation Letter specifying authorised signatories for application of electronic banking services.

#### CERTIFIED TRUE COPY OF IDS

##### > Hong Kong

If you are applying for ANZ Transactive in Hong Kong, certified true copies of identity card or passport is required for ALL users, except for users who are also authorised signatories as specified in Board Resolution/Account Authorisation Letter.

##### > India

If user is an Administrator on ANZ Transactive but is not an authorised signatory for transactions as specified in the Board Resolution/Account Authorisation Letter, a certified true copy of identity card or passport must be submitted.

##### > Thailand

If you are applying for ANZ Transactive in Thailand, certified true copies of identity card or passport are required for ALL users.

##### > All Other Countries

If user is an Administrator or Authoriser on ANZ Transactive but is not an authorised signatory for transactions as specified in the Board Resolution/Account Authorisation Letter, a certified true copy of identity card or passport must be submitted.

New Application    Amendment    Cancellation

Bank<sup>^\*</sup>

<sup>^</sup>Insert full legal entity name, including the location where the service is provided.

Jurisdiction\*

### COMPANY PROFILE

#### COMPANY DETAILS:

Company Name\*

Company Name in Local Language

Company Address\*

Postal Address (if different from Company Address)

Postal Code\*

Place of Incorporation\*

#### ANZ BANK USE ONLY

Customer ID

Segment:  CMB    C & IB    FIG

#### CONTACT PERSON DETAILS:

##### Primary Contact Person

Name\*

Designation\*

Add    Amend    Delete

Phone Number\*   Country (   )   Area (   )

Email Address\*

##### Secondary Contact Person

Name\*

Designation\*

Add    Amend    Delete

Phone Number\*   Country (   )   Area (   )

Email Address\*

\* Information must be provided.



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**ANZ TRANSACTIVE FEES AND ANZ FILEACTIVE FEES (IF APPLICABLE):**

Setup, monthly and token charges to be debited from:

Account Number	Account Type	Currency
<input type="text"/>	<input type="text"/>	<input type="text"/>

**SERVICE SELECTION & AUTHORISATION MATRIX**

The services available for your country may differ. Please check with your ANZ Sales Manager for more information.

	Standard Authorisation*						
	Add Service	Remove Service	One Authoriser	Two Authorisers, same group	Two Authorisers, different groups	Panel Authorisation**	Checker Authorisation***
Cash: Balance Enquiry	<input type="checkbox"/>	<input type="checkbox"/>					
Cash: Payments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
> Allow for Beneficiary Level Panel Authorisation for Bulk Payments:	<input type="checkbox"/>	<input type="checkbox"/>					
Cash: Transfer Between Accounts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cash: Direct Debits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Trade: Enquiry	<input type="checkbox"/>	<input type="checkbox"/>					
Trade: Trade Loans	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade: Import LC/ DC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade: Outward Guarantee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade: Export LC/ DC -Transfer	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade: Outward Standby LC/ DC	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Trade: Document Images	<input type="checkbox"/>	<input type="checkbox"/>					
SCF: Payables Programme	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SCF: Receivables Programme	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SCF: Receivable Matching Approval	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SCF: Credit Note Authorisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
SCF: Group to Trading Partner Rules	<input type="checkbox"/> Yes	<input type="checkbox"/> No					
Reports: Cash	<input type="checkbox"/>	<input type="checkbox"/>					
Reports: Trade & Supply Chain	<input type="checkbox"/>	<input type="checkbox"/>					
Reports: Confidential	<input type="checkbox"/>	<input type="checkbox"/>					
Reports: Admin	<input type="checkbox"/>	<input type="checkbox"/>					
Reports: Term Deposit	<input type="checkbox"/>	<input type="checkbox"/>					
Email Notification	<input type="checkbox"/>	<input type="checkbox"/>					
ANZ Fileactive****	<input type="checkbox"/>	<input type="checkbox"/>					

If you have selected Email Notification, please list email addresses to receive email addresses to receive email notification on transaction status:

Add	Delete	Email
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

\* Select this option if you required same authorisation regardless of amount transacted.  
 \*\* Select this option if you require authorisation based on amount transacted. Refer to Panel Authorisation as set out in Appendix A.  
 \*\*\* Select this option if you require these transaction types to be checked before authorisation.  
 \*\*\*\* ANZ Fileactive is an ANZ File Exchange Service.





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### DOCUMENTS AND CLIENT AGREEMENT

**Important note:** This application is subject to the Agreement as defined in the Definition Schedule of ANZ General Banking Conditions and the terms of the Customer Agreement as set out in this application form. We confirm we have read the ANZ General Banking Conditions and the Customer Agreement and we agree to comply with the terms thereof. We hereby confirm that details given above and all supporting documents provided by us are accurate and most updated.

Authorised Signature / Company Stamp / Seal or Chop

Name

Designation

Date (DD/MM/YYYY)




Authorised Signature / Company Stamp / Seal or Chop

Name

Designation

Date (DD/MM/YYYY)




### ANZ BANK USE ONLY

I hereby confirm that the client has correctly executed this form and his/her identity has been verified as per Unique Identification requirements or the Company Board Resolution details supplied in support of the account opening documentation.

Signature

Name

Title

Signature

Name

Title

### ANZ BRANCH

Country	Details
> Hong Kong	
> India	
> Japan	
> Philippines	Australia and New Zealand Banking Group Limited (ANZ). ABN 11005 357 522
> Singapore	
> Fiji	
> United Kingdom	

### ANZ SUBSIDIARY

Country	Details
Laos	ANZ Bank (Lao) Limited (ANZLL) is a subsidiary of Australia and New Zealand Banking Group Limited (ANZBGL), which is incorporated in Australia. ANZLL is incorporated and licensed in Laos with limited liability. ANZLL is not an authorised deposit taking institution within the meaning of the laws of Australia. Deposits or liabilities with ANZLL are not deposits or liabilities of ANZBGL and ANZBGL is not required to meet the obligations of ANZLL.
Thailand	ANZ Bank (Thai) Public Company Limited (ANZTH) is a subsidiary of Australia and New Zealand Banking Group Limited (ANZBGL), which is incorporated in Australia. ANZTH is incorporated and licensed in Thailand with limited liability. ANZTH is not an authorised deposit taking institution within the meaning of the laws of Australia. Deposits or liabilities with ANZTH are not deposits or liabilities of ANZBGL and ANZBGL is not required to meet the obligations of ANZTH.

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Papua New Guinea	Australia and New Zealand Banking Group (PNG) Limited (ANZPL) is a subsidiary of Australia and New Zealand Banking Group Limited (ANZBGL), which is incorporated in Australia. ANZPL is incorporated and licensed in Papua New Guinea with limited liability. ANZPL is not an authorised deposit taking institution within the meaning of the laws of Australia. Deposits or liabilities with ANZPL are not deposits or other liabilities of ANZBGL and ANZBGL is not required to meet the obligations of ANZPL.
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### ANZ TRANSACTIVE USER TYPES

	DESCRIPTION
Administrator	<ul style="list-style-type: none"> <li>&gt; Has the ability to create/edit/delete Users</li> <li>&gt; Has the ability to create/edit/delete security profiles</li> <li>&gt; Has the ability to create/edit/delete threshold groups</li> <li>&gt; Has the ability to create/edit/delete work groups</li> <li>&gt; Has the ability to view/create/edit/delete customised reports</li> </ul>
Authoriser	<ul style="list-style-type: none"> <li>&gt; Has the ability to authorise cash/Trade &amp; SupplyChain transactions</li> <li>&gt; Has the ability to view/create/edit/delete customised reports</li> <li>&gt; Has the ability to view and delete messages</li> <li>&gt; Has the ability to create/edit/delete parties</li> <li>&gt; Has the ability to create/edit/delete templates</li> </ul>
Initiator	<ul style="list-style-type: none"> <li>&gt; Has the ability to create/edit payments/Trade &amp; Supply Chain transactions</li> <li>&gt; Has the ability to create/edit/delete messages</li> <li>&gt; Has the ability to view/create/edit and delete customised reports</li> <li>&gt; Has the ability to create/edit/delete parties</li> <li>&gt; Has the ability to create/edit/delete templates</li> </ul>
Reporting	<ul style="list-style-type: none"> <li>&gt; Has the ability to view/create/edit/delete customised reports</li> <li>&gt; Has the ability to view and delete messages</li> </ul>

### ANZ FILEACTIVE WEB PORTAL USER FUNCTIONS

	DESCRIPTION
View / Download	<ul style="list-style-type: none"> <li>&gt; Has the ability to view all files uploaded and downloaded</li> <li>&gt; Has the ability to download files</li> <li>&gt; Can set/configure file alerts for file transfers</li> </ul>
File Upload	<ul style="list-style-type: none"> <li>&gt; Has the ability to upload a file to ANZ</li> </ul>

### CUSTOMER AGREEMENT DOCUMENTS

For Trade and Supply Chain and Cash customers, ANZ Transactive constitutes an “Electronic Banking Channel” and each person specified in the ‘User profile’ section of this application constitutes a “User” as referred to in the ANZ General Banking Conditions.

When you sign this application, if you access:

1. Trade and Supply Chain products and services using ANZ Transactive, you are bound by the ANZ Trade Terms, which can be obtained by contacting your Trade Sales Manager or accessing them at [anz.com/corporate](http://anz.com/corporate), and to those terms of the ANZ General Banking Conditions which relate the provision of trade and supply chain products and services to you using the Electronic Banking Channel; and
2. Cash products and services using ANZ Transactive you are bound by the ANZ General Banking Conditions and any supplemental terms applicable in your jurisdiction.

If you are a Trade and Supply Chain customer, the provision of Trade and Supply Chain products to you is a “Service” under the ANZ General Banking Conditions and if there is any inconsistency between the Trade Terms and the ANZ General Banking Conditions, the ANZ Trade Terms prevail to the extent of any inconsistency.

When you request for any product or services referred to in this application, you agree that your request is subject to ANZ General Banking Conditions and any other applicable agreements as defined in the Definition Schedule.

#### ADDITIONAL TERM

1. In this application:

- a. Customer Agreement means the terms in this application and any agreement referred to in the Customer Agreement section of this application.
- b. ANZ Trade Terms means those specific trade terms and general trade terms and any supplemental terms applicable to your jurisdiction which apply if we accept and act upon your application for the provision or use of a trade product or service identified therein or any agreement the customer enters into with ANZ relating to the provision or use of any payables or receivables supply chain product identified therein.

2. Trade Document Attachment

Where the customer has access to the function of attaching PDF documents to requests for Trade products offered by ANZ Transactive, the customer:

- a. agrees to attach PDF documents required for the Trade product requested by the customer and/or requested by ANZ only and not to attach PDF documents for any other purpose;
- b. undertakes that there are no discrepancies between PDF documents attached and the originals of those documents;
- c. acknowledges that ANZ has no obligation to review PDF documents delivered to ANZ via ANZ Transactive unless the PDF documents are required for the Trade Product offered by ANZ Transactive; and



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d. indemnifies ANZ against and will pay on demand all damages, losses, costs and expenses suffered by ANZ as a result of the unauthorized delivery of PDF documents to ANZ via ANZ Transactive and/or discrepancies between the PDF documents received by ANZ Transactive and the originals of those documents.

### 3. Document Imaging

- a. ANZ will make available to the customer a service via ANZ Transactive which will allow customers to view and print certain documents as determined by ANZ (Documents) from time to time (Service).
- b. ANZ will endeavour to make images of Documents accessed using the Service available for viewing and printing within 7 days of the original Documents being provided by any third party to ANZ.
- c. ANZ is not responsible for the information contained in or the accuracy of the images of the Documents nor liable for any delays in any Document being available for viewing and/or printing.
- d. The customer's use of the original or copy Documents is subject to the current ANZ Trade Terms.
- e. The customer agrees to pay ANZ all fees, government charges (including, without limitation, goods and services tax (if applicable)) and other charges applicable to use of the Service. The customer authorises ANZ to debit such fees and charges to its account(s) nominated in this application.

### 4. Inconsistency

If there is inconsistency between this English version of the application and the Customer Agreement and any translation, the English version prevails with exception to Taiwan where the Traditional Chinese translated version will prevail over the English translated version.



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**APPENDIX A - PANEL AUTHORISATION**

Panel Authorisation Group ID: (4 characters)

Panel Authorisation Group Name:



Please select one of the following services types for the panel authorisation group.

NOTE: A separate form for each service type and panel group request is required.

<input type="checkbox"/> Cash Payments	<b>Please choose available options to be applied to the Panel Group</b> <input type="checkbox"/> ACH / GIRO <input type="checkbox"/> Book Transfer <input type="checkbox"/> Bank Cheques <input type="checkbox"/> Customer Cheques <input type="checkbox"/> Cross Border Funds Transfer <input type="checkbox"/> RTGS <input type="checkbox"/> Transfer between Accounts
	<b>Please choose a Currency type for this Panel Group</b> <input type="checkbox"/> Account Currency <input type="checkbox"/> Base Currency
	<b>Please select if the Panel Group is used for Confidential Payments only</b> <input type="checkbox"/> Confidential Payments only
	<b>Please list accounts that Panel Group is to be assigned to</b> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/> Account: <input type="text"/>
<input type="checkbox"/> Trade Transactions	<input type="checkbox"/> Export LC / DC Transfer <input type="checkbox"/> Import LC / DC <input type="checkbox"/> Outward Guarantees <input type="checkbox"/> Outward Standby LC / DC <input type="checkbox"/> Trade Loans
<input type="checkbox"/> Supply Chain	<input type="checkbox"/> Credit Note Authorisation <input type="checkbox"/> Payables Invoice Authorisation <input type="checkbox"/> Payables Management Invoice Updates <input type="checkbox"/> Receivables Invoice Authorisation <input type="checkbox"/> Receivable Matching Approval

NOTE: Panel Groups for Trade and Supply Chain products are applicable at base currency only.

**Sequence**

Select the Sequence required for each panel combination required from:

- **No Sequence:** The Approvers in the Panel Rule can authorise in any order
- **Authorise in Sequence:** All Approvers must authorise in order of the sequence defined in the Panel Rule in that exact order
- **Authorise First:** The First Approver listed in the Panel Rule must approve First; then all subsequent authorisers can authorise in any order
- **Authorise Last:** The Approvers in the Panel Rule can authorise in any order with the exception of the last approver listed who must perform the final authorisation

**Panel Group Criteria**

- Up to 6 panel amount ranges can be selected for each Panel Group.
- Each Panel amount range can now have up to 10 Panel Rule combinations per range.
- Up to 20 approvers can be assigned to each sequence if required.

Please select the sequence type required for each rule, the total number of authorisers required for that rule and then add the Panel Approvers required for each panel rule range from A to J.

**EXAMPLE**

Sequence:	Total Authorisers:	Panel Approvers: Insert A to J values as required.
No Sequence ▼	3	A A B
No Sequence ▼	2	B C

An Alias for each Panel Approver type can be created via the new 'My Organisation's Profile' reference data (Company Administrators only).





