

# INDIVIDUAL SELF-CERTIFICATION (for Automatic Exchange of Information)

## 個人自我證明 (供自動交換資訊之用)

Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self-Certification).

稅務機關規定澳盛銀行和其控制實體 (以下簡稱「ANZ」) 收集包含您稅籍的自我證明表格。ANZ 可能需要提供您的資訊給相關稅務機關 (包括您沒有提供有效自我證明表格之情況)。

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS).

自動交換資訊可能包含海外帳戶稅收遵從法 (FATCA) 和共同申報準則 (CRS)。

1. **Complete this Self-Certification if you are:** an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.

如果您屬於以下身分，請填妥此自我證明表格：個人帳戶持有人、個體經營者或獨資經營者。如為聯合或多個帳戶持有人，每個個人帳戶持有者都必須完成獨立的自我證明表格。

2. **Refer** to the country specific terms/information at [www.anz.com/aeoi](http://www.anz.com/aeoi) 參閱各國特定法規條款/資訊，網址 [www.anz.com/aeoi](http://www.anz.com/aeoi)

3. **You should obtain** tax/legal/other professional advice (if required) **before** you complete and **sign** this Self-Certification.

填寫並簽署此自我證明表格前，(如果需要) 請取得稅務/法律/其他專業建議。

### Section 1: Account Holder Details 第1部分：帳戶持有人詳細資料

#### 1.1 Full Name 全名

First or Given Name(s)  Surname   
名字 姓氏

#### 1.2 Residence Address (Do not provide a PO Box or in-care-of Address) 居住地址 (請勿提供郵政信箱或轉交地址)

Address Line 1  Province/State/County   
地址第1行 省/州/縣

Address Line 2  Postal Code/Zip Code   
地址第2行 郵遞區號

City/Town  Country   
城市/城鎮 國家

1.3 Date of Birth 出生日期        
(日) (月) (年)

Country of Birth  City of Birth   
出生國家 出生城市

### Section 2: Account Holder Tax Residence(s) 第2部分：帳戶持有人稅籍

(Please note, US Citizens are considered to be Tax Residents of the US. 請注意，美國公民被視為擁有美國稅籍的居民。)

#### 2.1 I confirm 我確認：

a) I am only Tax Resident in the country of account opening 我只在開立帳戶國家擁有稅籍

OR 或

b) I have included below all countries in which I am Tax Resident (other than the country of account opening). 我已列出擁有稅籍的所有國家 (除開立帳戶的國家外)。

Country of Tax Residence (Do not include country of account opening) 擁有稅籍國家 (請勿列出帳戶開立國家)	Taxpayer Identification Number or Country Equivalent (TIN) 納稅義務人身分證號 或該國等同功能編號 (TIN)	Reason Code (if TIN not provided) 原因代碼 (如果未提供 TIN)	Explanation (If Reason Code is Z) 說明 (如果原因代碼是 Z)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Reason codes  
原因代碼：

A - TINs are not issued by this country  
該國未發給 TIN

B - This country does not require me to provide my TIN  
該國不須我提供 TIN

C - I have applied for a TIN and confirm I will inform you immediately upon receipt  
我已申請 TIN 且確認收到時將立即通知您

Z - I will not be able to obtain a TIN and have provided an explanation  
我將無法取得 TIN 且已提供說明

### Section 3: Declarations and Signature 第3部分：聲明和簽名

#### I certify that 我確認：

- I am the Account Holder and/or authorised to sign/disclose for the Account Holder. 我是帳戶持有人和/或被授權為帳戶持有人簽名/聲明。
- I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice. 我已諮詢獨立顧問取得必要資訊，且確認 ANZ 未提供任何建議。
- I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification. 我將在資訊變動發生後的 30 天內通知 ANZ 資訊變動事宜，且如果必要，將提供 ANZ 新的自我證明表格。
- I have attached all relevant documents (eg. Power of Attorney). 我已附上所有相關文件 (例如授權書)。
- I have provided true, correct and complete information. 我已提供真實、正確且完整的資訊。
- I will provide ANZ with any additional information and/or documentation as requested. 我將在 ANZ 提出要求時，提供任何額外資訊和/或文件。

Signature   
簽名

Name   
姓名

Date        
日期 (日) (月) (年)

(Please print first name and surname 請正楷書寫名字和姓氏)