

INDIVIDUAL SELF-CERTIFICATION

(for Automatic Exchange of Information)

Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self-Certification).

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS).

- Complete this Self-Certification if you are:** an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.
- Refer** to the country specific terms/information at www.anz.com/aeoi
- You should obtain**, tax/legal/other professional advice (if required) before you complete this Self-Certification and **sign** Section 3.

Section 1: Account Holder Details

1.1 Full Name

First or Given Name(s) Surname

1.2 Residence Address (Do not provide a PO Box or in-care-of Address)

Address Line 1 Province/State/County
 Address Line 2 Postal Code/Zip Code
 City/Town Country

1.3 Date of Birth

Section 2: Account Holder Tax Residence(s)

(Please note, US Citizens are considered to be Tax Residents of the US).

2.1 I confirm:

a) I am only Tax Resident in the country of account opening

OR

b) I have included below all countries in which I am Tax Resident (other than the country of account opening).

Country of Tax Residence (Do not include country of account opening)	Taxpayer Identification Number (TIN) (or country equivalent)	Reason Code (if TIN not provided)	Explanation (If Reason Code is Z)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Reason codes:

A – TIN Not Issued (The Country does not issue TINs)

B – TIN Not Required (The Country does not require collection of a TIN)

C – TIN Applied For (I have applied for a TIN and will inform you upon receipt)

Z – TIN Unobtainable (I am unable to obtain a TIN) Please provide explanation.

Section 3: Declarations and Signature

I certify that:

- I am the Account Holder and/or authorised to sign/disclose for the Account Holder.
- I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice.
- I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification.
- I have attached all relevant documents (eg. Power of Attorney).
- I have provided true, correct and complete information.
- I will provide ANZ with any additional information and/or documentation as requested.
- I understand that provision of false, inaccurate or incomplete information may constitute an offence(s) and penalties may apply.

Signature: Name Date

(Please print first name and surname)